

Agenda

Meeting: Audit Committee

**Venue: Grand Meeting Room, County Hall,
Northallerton**

**Date: Thursday 26 September 2013 at
1.30pm**

**Note: Members are invited to attend an
informal discussion with the Head of
Internal Audit commencing at 1.00
pm in the Grand Meeting Room.**

Business

1. Minutes of the meeting held on 18 July 2013.

(Pages 1 to 6)

2. Public Questions or Statements.

Members of the public may ask questions or make statements at this meeting if they have given notice to Ruth Gladstone of Democratic Services (*contact details below*) by midday on Monday 23 September 2013. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- at this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes);
- when the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

3. Progress on Issues Raised by the Committee – Joint report of the Corporate Director

– Strategic Resources and the Assistant Chief Executive (Legal and Democratic Services).

(Pages 7 to 10)

Policy/Planning/Regulatory Items

4. **Report to Members on the 2012/13 Audit by the External Auditor:-**
 - (a) **North Yorkshire Pension Fund** - The report of the External Auditor.
(Report collated separately)
 - (b) **North Yorkshire County Council** - The report of the External Auditor.
(Report collated separately)
5. **North Yorkshire Pension Fund Annual Report 2012/13** - Report of the Corporate Director – Strategic Resources/Treasurer to the Pension Fund.
(Pages 11 to 108)
6. **Statement of Final Accounts including Letter of Representation 2012/13** - Report of the Corporate Director – Strategic Resources (including separate booklet).
(Report collated separately)
7. **External Audit Fee Letters 2013/14:-**
 - (a) North Yorkshire County Council.
(Pages 109 to 111)
 - (b) North Yorkshire Pension Fund.
(Pages 112 to 114)
8. **Annual Report of the Audit Committee** - Report of the Chairman of the Audit Committee.
(Pages 115 to 122)

Monitoring of Progress/Performance Items

9. **Progress on 2013/14 Internal Audit Plan** - Report of the Head of Internal Audit.
(Pages 123 to 127)
10. **Annual Report on Partnership Governance 2012/13** - Report of the Chief Executive.
(Pages 128 to 144)
11. **Internal Audit Work and Related Internal Control Matters for the Health and Adult Services Directorate**
 - (a) Report of the Head of Internal Audit.
(Pages 145 to 151)
 - (b) Report of the Corporate Director – Health and Adult Services.
(Pages 152 to 180)
12. **Internal Audit Work on Computer Audit** - Report of the Head of Internal Audit.
(Pages 181 to 190)
13. **Programme of Work** – Report of the Corporate Director – Strategic Resources.
(Page 191)
14. **Other business which the Chairman agrees should be considered as a matter of urgency because of special circumstances.**

Carole Dunn
Assistant Chief Executive (Legal and Democratic Services)

County Hall
Northallerton

18 September 2013

Notes:

- (a) Members are reminded of the need to consider whether they have any interests to declare on any of the items on this agenda and, if so, of the need to explain the reason(s) why they have any interest when making a declaration.

The relevant Democratic Support Officer or Monitoring Officer will be pleased to advise on interest issues. Ideally their views should be sought as soon as possible and preferably prior to the day of the meeting, so that time is available to explore adequately any issues that might arise.

- (b) **Emergency Procedures for Meetings**

Fire

The fire evacuation alarm is a continuous Klaxon. On hearing this you should leave the building by the nearest safe fire exit. From the **Grand Meeting Room** this is the main entrance stairway. If the main stairway is unsafe use either of the staircases at the end of the corridor. Once outside the building please proceed to the fire assembly point outside the main entrance

Persons should not re-enter the building until authorised to do so by the Fire and Rescue Service or the Emergency Co-ordinator.

An intermittent alarm indicates an emergency in nearby building. It is not necessary to evacuate the building but you should be ready for instructions from the Fire Warden.

Accident or Illness

First Aid treatment can be obtained by telephoning Extension 7575.

AUDIT COMMITTEE

1. Membership

County Councillors (8)							
	<i>Councillors Names</i>				<i>Political Party</i>		
1	ATKINSON, Margaret				Conservative		
2	BACKHOUSE, Andrew				Conservative		
3	BROADBENT, Eric				Labour		
4	CHANCE, David				Conservative		
5	CLARK, Jim				Conservative		
6	HOULT, Bill				Liberal Democrat		
7	MULLIGAN, Patrick				Conservative		
8	PARSONS, Stuart				NY Independent		
Members other than County Councillors (3)							
1	Vacancy						
2	DAGLISH, James ²						
3	PORTLOCK, David ²						
Total Membership – (11)				Quorum – (3) County Councillors			
Con	Lib Dem	NY Ind	Labour	Liberal	UKIP	Ind	Total
5	1	1	1	0	0	0	

2. Substitute Members

Conservative		Liberal Democrat	
	<i>Councillors Names</i>		<i>Councillors Names</i>
1	FORT, John BEM	1	De COURCEY-BAYLEY, Margaret-Ann
2	HARRISON-TOPHAM, Roger	2	ENGLISH, Polly
3	SANDERSON, Janet	3	
4	METCALFE, Chris	4	
5		5	
NY Independent		Labour	
	<i>Councillors Names</i>		<i>Councillors Names</i>
1	BLACKIE, John	1	SHAW-WRIGHT, Steve
2	JEFFERSON, Janet	2	
3		3	
4		4	
5		5	

² for term of office see County Council resolution of 19 May 2010.